
Audit and Procurement Committee

27 January 2020

Name of Cabinet Member:

Cabinet Member for Policy and Leadership – Councillor Duggins

Director Approving Submission of the report:

Director of Finance and Corporate Services

Ward(s) affected:

City Wide

Title:

Corporate Risk Register

Is this a key decision?

No – Although the Corporate Risk Register covers the whole of the City, the impact will not significantly affect communities

Executive Summary:

The purpose of this report is to provide the Audit and Procurement Committee with the outcome of the review of the Corporate Risk Register 2020-21 in Appendix A. This provides the Audit and Procurement Committee with an overview of the Council's corporate risk profile and the controls in place to address these risks.

Recommendations:

The Audit and Procurement Committee are requested to:-

1. Note the current Corporate Risk Register, indicating that they have satisfied themselves that Corporate Risks are being identified and managed.
2. Identify any areas where they require additional information (if any).

List of Appendices included:

Appendix One – Corporate Risk Register

Other useful background papers:

None

Has it been or will it be considered by Scrutiny?

No

Has it been or will it be considered by any other Council Committee, Advisory Panel or other body?

No

Will this report go to Council?

No

Report title: Corporate Risk Register

1. Context (or background)

- 1.1 Local Government has been operating within a challenging environment of substantial budget cuts and major policy change. There is concern that pressure will continue on the level of available revenue resources to manage spending levels. In addition to reducing resources there are demographic challenges leading to increased demand for services whilst quality must be maintained. The pace of change and the scale of the challenges requires the Council to regularly assess its risk profile and implement suitable controls to manage these risks.
- 1.2 The Audit and Procurement Committee's Terms of Reference requires the Committee to monitor the effective development and operation of risk management within the Council. The Council's Risk Management Policy and Strategy identifies that the Committee will receive risk management reports to assist it to provide independent assurance of the risk management framework and associated control environment.
- 1.3 This report provides the Audit and Procurement Committee with the outcome of the review of the Corporate Risk Register 2020-21.

2. Options considered and recommended proposal

- 2.1 The Risk Register at Appendix 1 has been reviewed in consultation with the Senior Management Board and the allocated Risk Manager. It identifies the main risks facing the Council, the planned risk treatment, the progress made to date, where responsibility lies for the Council's response and the risk control status using a traffic light system.
- 2.2 It should be noted that this report covers only those risks that are viewed as the most critical for the Council and which are considered at a corporate level. Risk management activity continues at other levels throughout the Council dealing with those of a lower level.
- 2.3 The Corporate Risks listed in Appendix 1 can be considered as falling into two separate categories:

Operational/ Business as Usual – those risks that could affect the underlying and fundamental operations and structure of the Council

CR 001 – Finance:

CR 006 – Health and Adult Social Care

CR 007 – Safeguarding/Protecting Vulnerable Adults, Children and Families

CR 013 – Combined Authority for the West Midlands

CR 014 – Information Governance

CR 016 – Failure to comply with Health and Safety Legislation

CR 020 – Brexit

Specific/Project – those risks that could affect specific projects or the major change initiatives to how we operate

CR 002 – Sky Blue Sports and Leisure

CR 003 – ICT Infrastructure and Change

CR 005 – Workforce Strategy

CR 011 – Friargate Business District

CR 017 – City Centre South
CR 018 – Coventry Station Masterplan
CR 019 – Homelessness and Temporary Accommodation

- 2.4 The Corporate Risks and the control measures in place to address them are more fully described in Appendix 1. Audit and Procurement Committee are asked to review the content of the register and satisfy themselves that the process is operating effectively within the Council as required under the Risk Management Policy and Strategy.
- 2.5 There are some changes to the Risk Register from the previous report to Members.

Risks added:
CR 019 – Homelessness and temporary accommodation
CR 020 – Brexit

Risk with a change of title:
CR 006 – Health and Adult Social Care

3. Results of consultation undertaken

None

4. Timetable for implementing this decision

There is no implementation timetable, this is a monitoring report.

5. Comments from Director of Finance and Corporate Services

5.1 Financial implications

There are no financial implications directly associated with the risk register although the management of the risks is essential to the operation of the Council, the pursuit of its priorities and its financial integrity.

5.2 Legal implications

The maintenance and review of the Corporate Risk Register ensures that the Council meets its statutory obligation under the Accounts and Audit Regulations 2015 to have appropriate measures in place to ensure that risk is appropriately managed

6. Other implications

6.1 How will this contribute to the Council Plan (www.coventry.gov.uk/councilplan/)

Effective risk management arrangements are an integral component of strategic decision making, service planning and delivery, increasing the likelihood of achieving Corporate aims and objectives.

6.2 How is risk being managed?

The Council has a policy and framework to support risk management arrangements across the organisation as part of its overarching Governance processes. This report forms part of that practice.

6.3 What is the impact on the organisation?

Effective Risk Management arrangements are part of the good governance arrangements which lead to improved decision making and operational practices across the organisation.

6.4 Equalities / EIA

None

6.5 Implications for (or impact on) the environment

No impact

6.6 Implications for partner organisations?

None

Report author(s):

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Place

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Members: Councillor Duggins	Cabinet Member for Policy and Leadership	-	10/1/20	10/1/20

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